

MARINER SECURITIES LIMITED Appointment of Agent Form

1. Investor Details

Account Number	Title	First Name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Title	First Name(s)	Surname
	<input type="text"/>	<input type="text"/>	<input type="text"/>
Company/Partnership Name			Contact Phone Number
<input type="text"/>			<input type="text"/>

2. Appointment of Agent

AGENT 1

Title	First Name(s)	Surname	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Company/Partnership Name			
<input type="text"/>			
Postal Address			Postcode
<input type="text"/>			<input type="text"/>
Telephone (Home)	Telephone (Work)	Mobile	Facsimile
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email Address			
<input type="text"/>			

AGENT 2 (if applicable)

Title	First Name(s)	Surname	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Company/Partnership Name			
<input type="text"/>			
Postal Address			Postcode
<input type="text"/>			<input type="text"/>
Telephone (Home)	Telephone (Work)	Mobile	Facsimile
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email Address			
<input type="text"/>			

3. Agent Specimen Signatures

I confirm the above details are correct and that I am at least 18 years of age.

Agent 1 Signature	Agent 1 Name (please print)	Date
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Agent 2 Signature (if applicable)	Agent 2 Name (please print)	Date
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

If you have appointed more than one agent, please select below which ever is applicable.

I authorise any one agent to act on my behalf (i.e.: one signature required only) OR I authorise agents to act on my behalf if all signatures are provided.

4. Witness to Agent's Signature

Signature of Witness 1	Witness 1 Name (please print)	Date
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Signature of Witness 2	Witness 2 Name (please print)	Date
<input type="text"/>	<input type="text"/>	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

ACCOUNT HOLDERS MUST SIGN IN SECTION 6 OF THIS FORM AND BE WITNESSED BY A THIRD PARTY IN SECTION 7.

5. Conditions for Appointing an Agent to Act on Your Behalf

The agent must be at least 18 years of age.

The agent can do, on behalf of the investor, anything the investor may lawfully authorise an agent to do in respect of their investment including (without limitation):

- sign or otherwise authorise an application to invest;
- prepare, sign and lodge or otherwise communicate a request to redeem an investment;
- direct payment of any amount representing distributions, redemption proceeds or otherwise to the investor or to any other person;
- obtain information about the investor's investment;
- direct that Mariner Securities Limited send all notices, cheques, reports and other material to the agent on behalf of the investor; or
- change the investor's account details.

The agent does not have the power to appoint a different agent on behalf of the investor.

Where the agent is a company, each individual director or other officer authorised by its board of directors can do everything on behalf of the investor which the agent has the power to do.

Where the agent is a partnership, each individual partner can do everything that the partnership is authorised to do under this appointment.

Where the investor is a partnership, joint venture, incorporated association or unincorporated association the investor acknowledges that the agent has the authority to bind the partnership, joint venture, incorporated association or unincorporated association.

The exercise of any of the powers by a person reasonably believed by Mariner Securities Limited to be the agent of the investor or to be authorised to act on behalf of the agent, will be treated as if the investor had personally exercised those powers.

This arrangement continues until the second business day after the day on which Mariner Securities Limited receives notice in writing from the investor that the appointment of the agent is cancelled.

Mariner Securities Limited may cancel this facility or vary these conditions by giving the investor not less than seven days prior notice in writing.

The investor agrees that neither it nor any person claiming through it shall have any claim against Mariner Securities Limited in respect of any payment made from or any other action whatsoever taken in respect of their investment on the instruction of the agent.

The investor agrees to release, discharge and indemnify Mariner Securities Limited from and against any liabilities, costs or losses which it may incur in connection with this appointment.

The investor who has appointed an agent cannot later claim that the agent or any person acting on behalf of the agent was not acting on behalf of the investor. Where the investor is a company and it appoints an employee to be its agent, that appointment will continue even if the employee leaves the investor's employment until the investor cancels the appointment by notice in writing to Mariner Securities Limited.

6. Declaration

I/We, the person(s) described in **section 1**, appoint the person(s) described in **section 2** as my/our agent, with authority to do on my/our behalf anything I/we may lawfully authorise an agent to do in respect of my investment with Mariner Securities Limited, in accordance with the information set out on this form and subject to the conditions appearing above.

Joint applicants must both sign.

PLEASE NOTE THAT BY APPOINTING AN AGENT TO ACT ON YOUR BEHALF, YOU ARE GIVING THAT PERSON FULL AUTHORITY OVER YOUR ACCOUNT.

Signature of Investor or company officer

Print Name

Date

Signature of Investor 2 or company officer (if applicable)

Print Name

Date

7. Witness to Investor's Signature(s)

Signature of Witness

Print Name

Date

Important Information About Appointing An Agent For Your Account

As an Investor in a Mariner Securities Limited fund you are entitled to appoint an agent to act on your behalf. You cannot appoint an agent for a pension account.

Please note that by appointing an agent to act on your behalf, you are giving that person full authority over your account.

It is important to read the conditions governing the appointment of an agent before completing this form. These conditions are set out in **section 5**.

Please phone our Investor Services Team on 1800 009 963 or email info@marinerfunds.com.au with any questions.

Please return the completed form to: Mariner Securities Limited
PO Box R1784
Royal Exchange NSW 1225